

LEA COMPLIANCE AND ASSURANCE CHECKLIST For 2026 - 2027 School Year

Utah public Local Education Agencies (LEAs) are legally responsible for compliance with or assurances regarding the following federal requirements, Utah State law, or Utah State Board of Education (USBE) Board rule, as described in the references within this document. Verification of compliance by USBE is authorized under Article X Section 3 of the Utah Constitution, Utah Code 53E-3-401, and USBE Board rule R277-108. Each local school board or charter school governing board shall provide, consistent with State law, written assurance of the following requirements through this submission.

Item #	Mark Yes, No*, or N/A *If No is marked attach corrective action plan	Compliance and Assurance Item	Authorizing, Implemented, or Interpreted Law	Documentation
STATE ASSURANCES				
The LEA assures that it has complied with each of the following requirements as outlined in Code and Rule:				
1	Yes No	School Emergency Response Plan By July 1 of each year, the Emergency Preparedness Response Plan has been practiced at the school level and presented to and reviewed by its staff, administrators, students, parents, local public safety representatives and a copy has been filed with the LEA. Emergency Drills (Fire, Earthquake, Violence) Compliance Statement: Required drills have been held, students have proper supervision, training is age appropriate, student assistance programs are in place, school staff maintain control of schools and facilities.	53G-4-402(2) R277-400-3 and 400-6 15A-5-S202.5	*Link to LEA's policy and procedure
2	Yes No	Truancy Prevention Procedures for absenteeism and truancy prevention have been established and are being followed.	53G-6-201 R277-607	
3	Yes No	Child Abuse-Neglect Reporting by Education Personnel The LEA has a policy in accordance with R277-401 and annually notifies employees of their legal obligation to report suspected abuse to the appropriate authorities.	53E-6-701 80-2-S602 R277-401	*Link to LEA's policy and procedure
4	Yes No	Student Search Policies and rules have been established and procedures implemented to ensure protection of individual rights against excessive and unreasonable intrusion.	53G-8-509 R277-615	*Link to LEA's policy and procedure
5	Yes No	Medical Recommendations by School Personnel to Parents A policy has been adopted and implemented regarding prohibitions and exceptions, as required.	53G-9-203	*Link to LEA's policy and procedure
6	Yes No	Electronic Devices Policy A policy governing the possession and use of electronic devices while on public school premises has been adopted and implemented, as required.	53G-8-20 R277-495	*Link to LEA's policy and procedure
7	Yes No	Educational Freedom Each LEA shall provide an annual assurance to the state board that the LEA's professional learning, administrative functions, displays, and instructional and curricular materials, are consistent with the principles of individual freedom.	53G-10-206	
8	Yes No N/A	Positive Behaviors Plan (Electronic Cigarettes and Other Substance Use Prevention) The LEA certifies that each school under the governing Board's jurisdiction has an approved Positive Behavior Plan as described in UCA 53G-10-407.	53G-10-407(5)(b) R277-910	

9	Yes No	<p>Parent Education – Mental Health – Bullying – Safety</p> <p>The LEA certifies that the following has been met:</p> <p>(1)(a) Except as provided in Subsection (3), a school district shall offer a seminar for parents of students who attend school in the school district that:</p> <p>(i) is offered at no cost to parents;</p> <p>(ii)(A) if in person, begins at or after 6 p.m.;</p> <p>(B) if in person, takes place on a Saturday; or</p> <p>(C) may be conducted at anytime online and recorded if the recording is made available on the school district's website, including the parent portal created in Section 53G-6-806;</p> <p>(iii)(A) is held in at least one school located in the school district; or</p> <p>(B) is provided through a virtual platform; and</p> <p>(iv) covers the topics described in Subsection (2) that includes information on:</p> <p>(i) substance abuse, including illegal drugs and prescription drugs and prevention;</p> <p>(ii) bullying;</p> <p>(iii) mental health, depression, suicide awareness, and suicide prevention, including education on limiting access to fatal means;</p> <p>(iv) Internet safety, including pornography addiction;</p> <p>(v) the SafeUT Crisis Line established in Section 53H-4-210; and</p> <p>(vi) resources related to the topics described in this Subsection (2);</p>	53G-9-703	
10	Yes No	<p>Bullying, Cyber-Bullying, Hazing, Abusive Conduct, and Retaliation Policy</p> <p>The LEA has a policy requiring a signed statement from parents, students over the age of eight, and school employees that they have received the LEA Board's bullying policy annually.</p>	53G-9-605	
11	Yes No	<p>Employees Trained on Bullying Policy</p> <p>The LEA has trained employees on the model policy for bullying, as required in code:</p> <p>(2)(a) the LEA governing board's policy, including implementation of the signed statement requirement described in Subsection 53G-9-605(3);</p> <p>(b) the LEA governing board's training of school employees relating to bullying, cyber-bullying, hazing, and retaliation described in Section 53G-9-607;</p>	53G-9-606	
12	Yes No	<p>Training, Education, and Prevention - Bullying Standards.</p> <p>An LEA shall designate at least one individual at the LEA level who:</p> <p>(a) provides training to an individual described in Subsection (2);</p> <p>(b) oversees the implementation of an action plan;</p> <p>(c) for each incident, monitors implementation of the LEA's policy regarding a communication process with a parent described in Section 53G-9-605;</p> <p>(d) acts as the LEA liaison to the state board regarding bullying, cyber-bullying, hazing, abusive conduct, and retaliation; and</p> <p>(e) assists a school with case-specific needs when the school is addressing an incident.</p>	53G-9-607	
13	Yes No	<p>Child Sexual Abuse and Human Trafficking Prevention</p> <p>The LEA shall provide training and instruction on child sexual abuse prevention and awareness to school personnel and parents or guardians every three years. The school district or charter school uses Board-approved instructional materials to provide the training and instruction.</p>	53G-9-207	
14	Yes No	<p>Gang Prevention and Intervention Policies</p> <p>The LEA assures that faculty and personnel are trained to recognize early warning signs for students in trouble. Faculty and personnel shall report suspected gang activity to school administrators and law enforcement. Gang members are barred from extracurricular activities. Gang-related graffiti or damage to school shall result in parent/guardian notification. LEA gang policy is publicized on school websites, in handbooks, and in letters to parents/guardians. The LEA prohibits advocating or promoting a gang or any gang-related activities.</p>	53E-3-509 R277-436	

15	Yes No	Underage Drinking and Substance Abuse Prevention Program The LEA assures that it shall offer to each student in grades 4 or 5, grades 7 or 8, and grades 9 or 10, respectively, the Underage Drinking and Substance Abuse Prevention Program procured by the Board.	53G-10-406 R277-910	
16	Yes No	Parental Notification of Certain Incidents and Threats Required The LEA has a policy that requires: a) notification of a parent when a student threatens suicide; b) documentation that the school has notified the parents; and c) that the parents have been provided with suicide prevention materials and information on ways to limit the student's access to fatal means.	53G-9-604	*Link to LEA's policy and procedure
17	Yes No	Equal Opportunity in Education An LEA shall submit an annual assurance to the Board that the LEA's professional learning is consistent with this Rule and 53G-10-206.	R277-328	
18	Yes No	Sensitive Instructional Materials LEAs establish a policy and accompanying procedures for the selection and reconsideration of instructional materials selected for a school that: (i) is consistent with current state law, including Sections 53G-10-103, 53G-4-402 and 53G-5-404.	53G-10-103 R277-628 R277-123	*Link to LEA's policy and procedure
19	Yes No N/A	Public School Library Transparency Amendments LEAs that provide school libraries will provide an online platform that allows a parent to view information regarding materials the parent's child borrows from the school library.	53G-4-402 53G-5-S405	
20	Yes No	Language Access Policy The LEA Assures that the LEA Board has approved the Language Access Policy, in accordance with 53G-7-221, 63A-2-501, and 63A-2-502.	53G-7-221	*Link to LEA's policy and procedure
21	Yes No N/A	CPR Training Health Education The LEA certifies that hands-on CPR training is part of the Health Education Core Standards or offered online for an online-only school.	53G-10-408	

CAREER & TECHNICAL EDUCATION
Federal Perkins Program
Strengthening Career & Technical Education for 21st Century Act (Perkins V)
The LEA has reviewed the requirements below, and on behalf of the LEA agrees to comply with or abide by the Federal regulations below:

22	Yes No N/A	College and Career Awareness (CCA) The LEA certifies that the CCA course is taught in 7th or 8th grade and that the LEA is in compliance with program criteria.	53E-3-507 53F-2-5 R277-916	
23	Yes No N/A	Supplanting The LEA certifies that, if awarded Perkins funds, Career and Technical Education activities shall supplement, and shall not supplant, non-Federal funds expended to carry out career and technical education activities.	2-CFR-200.201(b)	
24	Yes No N/A	Nonprofit Private School Participation in Professional Development Programs The LEA certifies that, upon written request and to the extent practicable, it will permit CTE secondary school teachers, administrators, and other personnel in nonprofit private schools located in the geographical area served by such eligible recipient, to participate in professional development programs.	Title-II-General-Provisions-Part-A-Sec-217	

25	Yes No N/A	<p>Nonprofit Private School Participation in CTE Programs</p> <p>The LEA certifies that the LEA will:</p> <p>1) Student participation – Except as prohibited by State or local law, an eligible recipient may, upon written request, use funds made available under this Act to provide for the meaningful participation, in career and technical education programs, and activities, including programs of study, receiving funding under this Act, of secondary school student attending a nonprofit private school in areas served by the eligible recipient.</p> <p>2) Consultation – An eligible recipient shall consult, upon written request, in a timely and meaningful manner with representative of nonprofit private schools in the areas served by the eligible recipient described in paragraph (1) regarding the meaningful participation, in career and technical education programs and activities, including programs of study, receiving funding under this Act, of secondary school students attending nonprofit private school.</p>	Title-II-General-Provisions-Part-A-Sec-217	
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High-Risk Assurances

Items below have been identified as high-risk for the upcoming year.
The LEA assures that it has complied with each of the the following requirements:

26	Yes No	<p>Educator Conduct An educator shall:</p> <ul style="list-style-type: none"> (1) comply with all federal, state, and local laws; (2) maintain a professional educator/student relationship, including by: <ul style="list-style-type: none"> (a) treating a student with dignity and respect by promoting the health, safety and well being of students; and (b) maintaining appropriate verbal, emotional and social boundaries; (3) take prompt and appropriate action to stop, mitigate, and prevent harassment or discriminatory conduct toward a student or school employee that the educator knew or should have known may result in a hostile, intimidating, abusive, offensive, or oppressive environment; (4) take prompt and appropriate action to protect a student from any known condition detrimental to the student's physical health, mental health, safety, or learning; 	R277-217-3	
27	Yes No	<p>Educator Reporting of Misconduct</p> <ul style="list-style-type: none"> (1) An LEA shall notify UPPAC if an educator is determined pursuant to a judicial or administrative proceeding, or internal LEA investigation, to have violated the educator standards described in Sections R277-217-2 and R277-217-3. (2)(a) A district superintendent or a charter school director, or their respective designees, shall notify UPPAC and the educator of any allegation from a parent that an educator's conduct violated Sections R277-217-2 and R277-217-3 within 30 days of receiving the allegation. 	R277-217-5	
28	Yes No	<p>Reporting Child Abuse and Neglect</p> <ul style="list-style-type: none"> (1)(c)(i) any school employee who knows or reasonably believes that a child has been neglected, or physically or sexually abused, shall immediately notify the nearest peace officer, law enforcement agency, or DCFS. (2) An LEA policy may direct a school employee to notify a school official of suspected neglect or abuse, but any such requirement shall clarify that notifying a school official does not satisfy the employee's personal duty to report to law enforcement or DCFS. 	R277-401-3	
29	Yes No	<p>Bullying and Harrassment An LEA shall report and investigate allegations of bullying in accordance with R277-613-5.</p>	R277-613-5	
30	Yes No	<p>Athletics and Coaching - Employee/Sponsor Agreements An LEA shall comply with all provisions related to employee/sponsor agreements in accordance with R277-107-6.</p>	R277-107-6	
31	Yes No	<p>Athletics and Coaching - Coaches and School Activity Leaders as Supervisors and Role Models The LEA assures it has addressed the following requirement(s) with applicable LEA personnel:</p> <ul style="list-style-type: none"> (1) A coach or other designated school leader shall be an exemplary role model and may not use alcoholic beverages, tobacco, controlled substances, or participate in promiscuous sexual relationships while on school-sponsored activities. (2) Coaches, assistants and advisors shall act in a manner consistent with Section 53G-8-209 and may not: <ul style="list-style-type: none"> (a) use foul, abusive, or profane language while engaged in school related activities; or (b) permit hazing, demeaning, or assaultive behavior, whether consensual or not, including behavior involving physical violence, restraint, improper touching, or inappropriate exposure of body parts not normally exposed in public settings, forced ingestion of any substance, or any act which would constitute a crime against a person or public order under Utah law. 	R277-605-4	

32	Yes No	<p>Athletics and Activity Clinics</p> <p>The LEA assures it has addressed the following requirement(s) with applicable LEA personnel:</p> <p>(1) School personnel, activity leaders, coaches, advisors, and other personnel may not require students to attend out-of-school camps, clinics, or workshops for which the personnel, activity leaders, coaches, or advisors receive remuneration from a source other than the school or district in which they are employed.</p> <p>(2) Required or voluntary participation in summer or other off-season clinics, workshops, and leagues may not be used as eligibility criteria for team membership, participation in extracurricular activities, or for the opportunity to try out for school-sponsored programs.</p>	R277-605-5	
33	Yes No	<p>Fiscal Responsibilities and Required Policies and Procedures</p> <p>(1) An LEA shall review the LEA's fiscal policies and procedures regularly.</p> <p>(2) An LEA shall develop a plan for annual training of LEA and public school employees on policies and procedures enacted by the LEA specific to job function.</p> <p>(3) LEA fiscal policies and procedures shall be available at each LEA main office, at individual public schools, and be publicly available on the LEA's website.</p>	R277-113-5	
34	Yes No	<p>LEA Governing Board Fiscal Responsibilities</p> <p>An LEA governing board shall have the following responsibilities:</p> <p>(1) approve written fiscal policies and procedures required by Section R277-113-5;</p> <p>(2) ensure, considering guidance in "Standards for Internal Control in the Federal Government," issued by the Comptroller General of the United States or the "Internal Control Integrated Framework," issued by the Committee of Sponsoring Organizations of the Treadway Commission, that LEA administration establish, document, and maintain an effective internal control system for the LEA;</p> <p>(3) develop a process to regularly discuss and review LEA:</p> <p>(a) budget and financial reporting practices;</p> <p>(b) financial statements and annual financial and program reports;</p> <p>(c) financial position;</p> <p>(d) expenditure of restricted funds to ensure administration is complying with applicable laws, regulations, and award terms and conditions; and</p> <p>(e) systems and software applications for compliance with financial and student privacy laws;</p> <p>(5) oversee procurement processes in compliance with Title 63G, Chapter 6a, Utah Procurement Code, and Rule R277-115, including:</p> <p>(a) reviewing the scope and objectives of LEA contracts or subawards with entities that provide business or educational services; and</p> <p>(b) receiving reports regarding the compliance and performance of entities with contracts or subawards;</p> <p>(7) ensure LEA administration implements sufficient internal controls over the functions of entities with contracts or subawards to perform services on behalf of the LEA.</p>	R277-113-6	
35	Yes No	<p>Special Education</p> <p>The Superintendent and LEAs shall provide services to a student with a disability in accordance with:</p> <p>(1) Section 504 of the Rehabilitation Act of 1973, 29 U.S.C. 794;</p> <p>(2) this rule;</p> <p>(3) the Special Education Rules, June 2023, included in the Special Education Rules manual described in Section R277-750-2; and</p> <p>(4) the annual Utah State Federal Application under Part B of the IDEA.</p>	R277-750	

36	Yes No	<p>Parent Accomodations</p> <p>An LEA shall comply with all provisions related to 53G-6-803 Parents right to academic accommodations.</p> <p>(1) (a) A student's parent is the primary person responsible for the education of the student, and the state is in a secondary and supportive role to the parent. As such, a student's parent has the right to reasonable academic accommodations from the student's LEA as specified in this section.</p> <p>(b) Each accommodation shall be considered on an individual basis and no student shall be considered to a greater or lesser degree than any other student.</p> <p>(c) The parental rights specified in this section do not include all the rights or accommodations that may be available to a student's parent as a user of the public education system.</p> <p>(d) An accommodation under this section may only be provided if the accommodation is:</p> <p>(i) consistent with federal law; and</p> <p>(ii) consistent with a student's IEP if the student already has an IEP.</p> <p>(2) An LEA shall reasonably accommodate a parent's written request to retain a student in kindergarten through grade 8 on grade level based on the student's academic ability or the student's social, emotional, or physical maturity.</p> <p>(3) An LEA shall reasonably accommodate a parent's initial selection of a teacher or request for a change of teacher.</p> <p>(4) An LEA shall reasonably accommodate the request of a student's parent to visit and observe any class the student attends.</p> <p>(9) (a) At the request of a student's parent, an LEA shall excuse a student from taking an assessment that:</p> <p>(i) is federally mandated;</p> <p>(ii) is mandated by the state under this public education code; or</p> <p>(iii) requires the use of:</p> <p>(A) a state assessment system; or</p> <p>(B) software that is provided or paid for by the state.</p>	53G-6-803	
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Declaration

The parties referred to in this document are all Federal agencies, including but not limited to the United States Department of Education, the United States Department of Agriculture, the United State Department of Health and Human Services, and the United States Department of Labor, all herein referred to as the "DEPARTMENT," and the Utah State Board of Education, herein referred to as the "USBE," and the local educational agency, herein referred to as the "SUBGRANTEE." The USBE may make funds available to the SUBGRANTEE for programs operated by the SUBGRANTEE in accordance with requirements and regulations applicable to such programs. Consistent with 34 C.F.R. Sections 74-81, the SUBGRANTEE assures, by submitting this automated application, the local education agency representatives assure that the application has been authorized by the board of education or governing board of the local education agency, and the undersigned representatives have been duly authorized by formal action of the board to file this application for, and on behalf of, the local education agency, and otherwise to act as the authorized representatives of the local education agency in connection with this application. By submitting this automated application, the local education agency is acknowledging that it understands and agrees to abide by the applicable assurances included in this application, and that until this application receives approval from the USBE, this LEA will continue to implement programs and use funds in accordance with the previous year approved application. This will serve for the purposes of substantial approval of the LEA application until formal approval of FY27 grant applications are approved.

District Superintendent or Charter School Director
Date Submitted