

Utah State Board of Education
Risk Assessment for 21st Century Community Learning Center (CCLC) Grantees

The state agency must evaluate sub recipient's risk of noncompliance with federal statutes, regulations, and the terms and conditions of the sub award from purposes of determining the appropriate sub recipient monitoring. [§ 200.331(b)]

Date: _____ **Subrecipient Name** _____

Year that the grant is in (circle one) 1 2 3 4 5 **Award Amount for year \$** _____

Points Possible	Program Management		Points
5 Points Possible	1.	Has the subrecipient received this type of federal award in the past or is this a brand-new award? Received in the past=0 points New Award=5 points	
5 Points Possible	2.	Has there been recent changes in key management or grant personnel? No=0 points Yes =5 points	
10 Points Possible	3.	Have prior monitoring/site visits or other communications revealed program or financial management compliance issues Point scale of 0-10; 1 finding-1 point; 2 findings=2 points, etc., Maximum of 10 points	
5 Points Possible	4.	Has the subrecipient received multiple cohorts of funding to be managed concurrently? No=0 points Yes=5 points	
5 Points Possible	5.	Has the subrecipient met all data reporting deadlines? Yes=0 points Missed one=1 point Missed two or more=5 points	
	Fiscal Grants Management		
4 Points Possible	6.	Has the subrecipient submitted numerous budget revisions in the past? No=0 points; 1 Budget Revision=1 point; 2 budget revisions=2 points; More than 4 budget revisions=4 points	
5 Points Possible	7.	Has the subrecipient filed amendments as required before making substantive changes to program or budget? Yes= 0 points No=5 Points	
4 Points Possible	8.	Have the subrecipient's previous reimbursements and expenditure reports been Inadequate=2 points Inaccurate=3 points Late=4 points If none of the above, assign 0 points	
5 Points Possible	9.	Has the grantee returned federal funds to the state within the previous two grant cycles? No=0 points Yes=5 Points	
Comments:			
48 Points Possible		Total Risk Score Low Risk: 0-9, Moderate Risk: 10-25, High Risk: 26-48	
		Grant Manager Initials	